

Annex No. 1
to Financial Intermediation Agreement between the Investment Intermediary Finex LTD and

REQUEST FOR CUSTOMER IDENTIFICATION AND ACCOUNT OPENING*

1.	Name							
2.	Identification number /Personal ID No., Unified Identification Code (UIC), BULSTAT Statistical Registration No., or another identification number/							
3.	Proxy / Legal representative	Yes <input type="checkbox"/> No <input type="checkbox"/>						
	Name / Identification number							
4.	Permanent address / Registered office address /specify customer's address, resp. the proxy's address/							
5.	The Customer has an operating Financial Intermediation Agreement Investment Intermediary Finex LTD	Yes <input type="checkbox"/> No <input type="checkbox"/>						
6.	Customer number							
7.	Agreement number /to be filled in officially when the customer has no agreement concluded with an Investment Intermediary or by the customer in case of such/							
8.	Account number /to be filled in officially according to the number and type of active customer's accounts/							
9.	Bank Account /specify the customer's bank account, resp. its proxy, if the same is duly authorized to perform dispositive actions with customer's funds/							
		holder						
		bank						
		BIC code Account No. /IBAN/						
10.	Contact	<table border="1"> <tr><td>Telephone</td></tr> <tr><td>Mobile</td></tr> <tr><td>Fax</td></tr> <tr><td>E-mail</td></tr> <tr><td>Address</td></tr> <tr><td>Contact person</td></tr> </table>	Telephone	Mobile	Fax	E-mail	Address	Contact person
Telephone								
Mobile								
Fax								
E-mail								
Address								
Contact person								
<i>Please, mark with "X" or "V":</i>								
11.	Choice of Electronic Trading System							
11.1	Infront (Stocks, ETF's , /	<input type="checkbox"/>						

11.1.1	Account currency:
	BGN <input type="checkbox"/> EUR <input type="checkbox"/> USD <input type="checkbox"/>
11.2	Other (options) <input type="checkbox"/>
11.3	No Electronic Trading System <input type="checkbox"/>
12.	Special Customer's Instructions
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13.	Customer's Categorization /to be filled in by an employee of the Investment Intermediary/
13.1	Non-professional customer <input type="checkbox"/>
13.2	Professional customer <input type="checkbox"/>
13.3	Acceptable counterparty <input type="checkbox"/>
13.4	Request for Professional Customer Status, submitted by the customer <input type="checkbox"/>
14.	Annexes /to be submitted at initial request, as well as at subsequent request, in case of any change in the initially presented information/
14.1	Copy of an identity document – certified by the customer as “True to the Original”, date and signature /of the customer, resp. of its representative / proxy/ <input type="checkbox"/>
14.2	Declaration of Origin of funds for cash account deposit /to be filled in by the customer/ <input type="checkbox"/>
14.3	Appropriate Service Assessment Form /to be filled in by the customer/ <input type="checkbox"/>
14.4	Declaration acc. to Art. 5a of the Measures Against Money Laundering Act /to be filled in by the customer/ <input type="checkbox"/>
14.5	Notarially certified Power of Attorney for execution of dispositive actions with financial instruments /in case of a proxy/ <input type="checkbox"/>
14.6	Certificate of Good Standing/Excerpt from the respective Register – certified by the representative as “True to the Original”, date and signature /in case of a legal entity/ <input type="checkbox"/>
14.7	Copy of the Statutes / Memorandum of Association / Articles of Association of a customer – foreign legal entity – certified by the representative as “True to the Original”, date and signature /in case of a legal entity/ <input type="checkbox"/>
14.8	Specimen of the signature of the customer's legal representative /in case of a legal entity/ <input type="checkbox"/>

14.9	Declaration of Beneficial Owner /in case of a legal entity/	<input type="checkbox"/>
14.10	Others - /applicable for the customer/	<input type="checkbox"/>

* The present Request must be filled in before concluding a Financial Intermediation Agreement with the Investment Intermediary Finex LTD, as well as in case of further change in the Electronic Trading Systems, operational modules and cash accounts used by the customer, when the CUSTOMER has a valid Financial Intermediation Agreement, respectively an Agreement for Investment Services and Activities with the Investment Intermediary Finex LTD.

SUBMITTED BY:
FOR THE CUSTOMER: _____
/signature/

/three names/

ACCEPTED BY:
FOR FINEX LTD: _____
/signature/

/three names/